

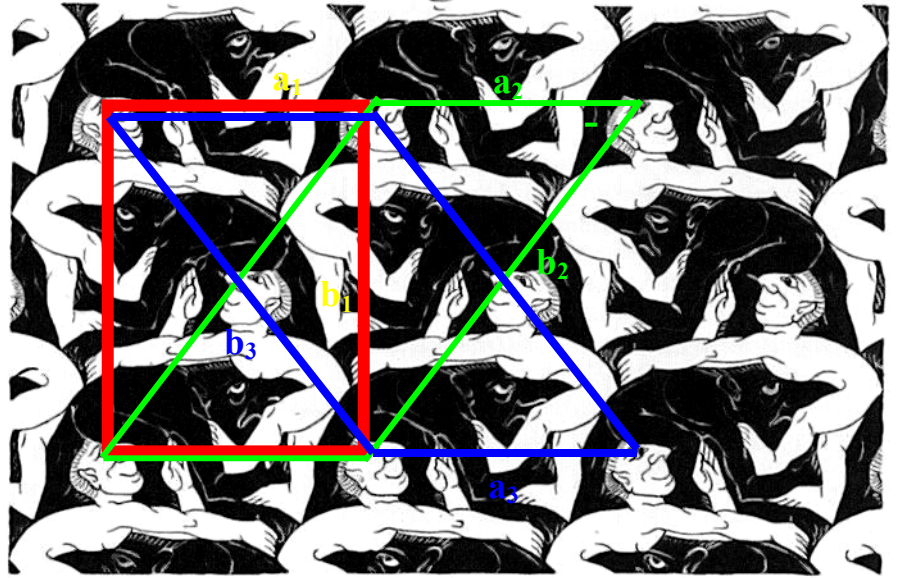
Problem 1 (8 points)



Unit cell drawing (2 pts)

Unit cell parameters (2 pts)

$$a = 2.4 \text{ cm}$$
$$b = 3.1 \text{ cm}$$
$$\gamma = 103.5^\circ$$



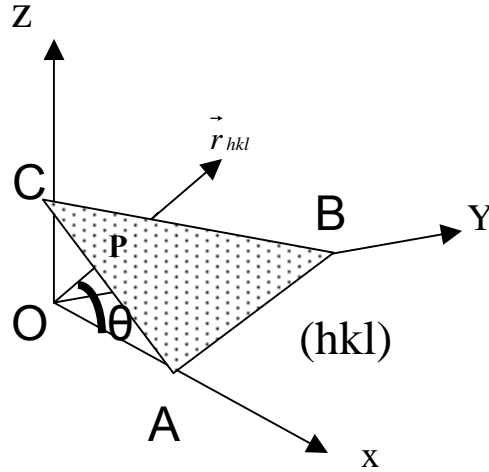
48. Regelmäßige Flächenaufteilung für Bezeichnung. Bleistift und schwarze Tusche. 1944

Unit cell drawing (2pts)

Unit cell parameters (2 pts)

$$a_1 = 3.5 \text{ cm}$$
$$b_1 = 4.6 \text{ cm}$$
$$\gamma = 90^\circ$$

Problem 2 (12 points)



(a) (7pts) As shown in the above sketch, the $[hkl]$ direction is defined by vector \mathbf{r}_{hkl} , where $\mathbf{r}_{hkl} = h\mathbf{a} + k\mathbf{b} + l\mathbf{c}$. Meanwhile, plane (hkl) (shown as plane ABC) intersects the x, y, z axes at $a/h, b/k$ and c/l by definition. Hence

$$\overrightarrow{OA} = \mathbf{a}/h, \overrightarrow{OB} = \mathbf{b}/k, \overrightarrow{OC} = \mathbf{c}/l, \text{ and}$$

$$\overrightarrow{AB} = \overrightarrow{OB} - \overrightarrow{OA} = \mathbf{b}/k - \mathbf{a}/h,$$

$$\overrightarrow{BC} = \overrightarrow{OC} - \overrightarrow{OB} = \mathbf{c}/l - \mathbf{b}/k$$

Taking the dot products of these plane vectors and \mathbf{r}_{hkl} :

$$\overrightarrow{AB} \cdot \overrightarrow{r}_{hkl} = (\mathbf{b}/k - \mathbf{a}/h) \cdot (h\mathbf{a} + k\mathbf{b} + l\mathbf{c}) = b^2 - a^2 = 0;$$

$$\overrightarrow{BC} \cdot \overrightarrow{r}_{hkl} = (\mathbf{c}/l - \mathbf{b}/k) \cdot (h\mathbf{a} + k\mathbf{b} + l\mathbf{c}) = c^2 - b^2 = 0,$$

where we use the facts that $\mathbf{a} \cdot \mathbf{b} = \mathbf{b} \cdot \mathbf{c} = \mathbf{c} \cdot \mathbf{a} = 0$ and $a = b = c$ in a cubic crystal.

In conclusion, $\overrightarrow{AB} \perp \overrightarrow{r}_{hkl}$ and $\overrightarrow{BC} \perp \overrightarrow{r}_{hkl}$. Therefore the result holds.

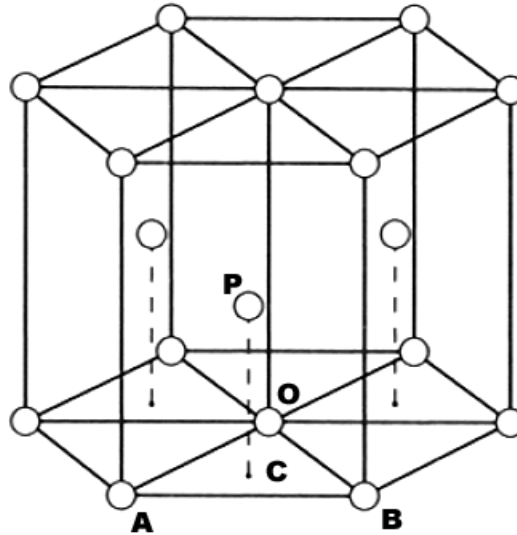
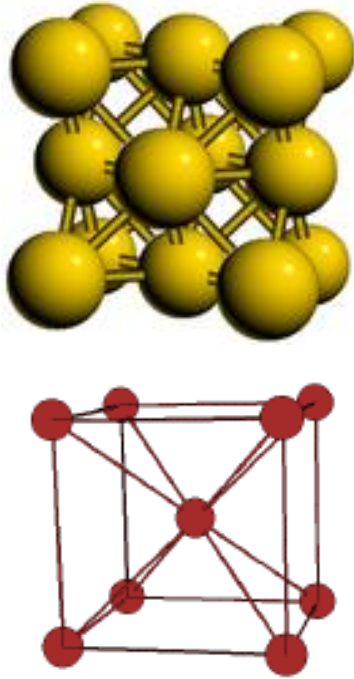
(b) (5pts) Suppose \mathbf{r}_{hkl} intersect (hkl) plane at point P.

$$\text{It is clear that } d = |\overrightarrow{OP}| = |\overrightarrow{OA}| \cdot \cos \angle AOP = \overrightarrow{OA} \cdot \overrightarrow{OP} / |\overrightarrow{OP}| = \overrightarrow{OA} \cdot \overrightarrow{r}_{hkl} / |\overrightarrow{r}_{hkl}|$$

$$= \frac{\mathbf{a}}{h} \cdot \frac{h\mathbf{a} + k\mathbf{b} + l\mathbf{c}}{|h\mathbf{a} + k\mathbf{b} + l\mathbf{c}|} = \frac{a}{\sqrt{h^2 + k^2 + l^2}}.$$

Here we use the facts that $\mathbf{a} \cdot \mathbf{b} = \mathbf{b} \cdot \mathbf{c} = \mathbf{c} \cdot \mathbf{a} = 0$ and $a = b = c$ in a cubic crystal again.

Problem 3 (15 points)



In hard sphere theory, the nearest neighbors touch each other. Suppose the radius of the atoms and lattice parameter of a cubic lattice are r and a , respectively.

(a) For the fcc structure, there are $8 \cdot 1/8 + 6 \cdot 1/2 = 4$ atoms per unit cell.

$$\sqrt{2}a/2 = 2r \Rightarrow r = \sqrt{2}a/4 \Rightarrow APF = \frac{4 \times 4\pi r^3/3}{a^3} = 4 \times \frac{4}{3} \pi \times \left(\frac{\sqrt{2}}{4}\right)^3 = \frac{\sqrt{2}\pi}{6} \approx 0.740$$

(b) For the bcc structure, there are $8 \cdot 1/8 + 1 = 2$ atoms per unit cell.

$$\sqrt{3}a/2 = 2r \Rightarrow r = \sqrt{3}a/4 \Rightarrow APF = \frac{2 \times 4\pi r^3/3}{a^3} = 2 \times \frac{4}{3} \pi \times \left(\frac{\sqrt{3}}{4}\right)^3 = \frac{\sqrt{3}\pi}{8} \approx 0.680$$

(c) For hcp structure, consider tetrahedron OABP (a , c are lattice parameter.)

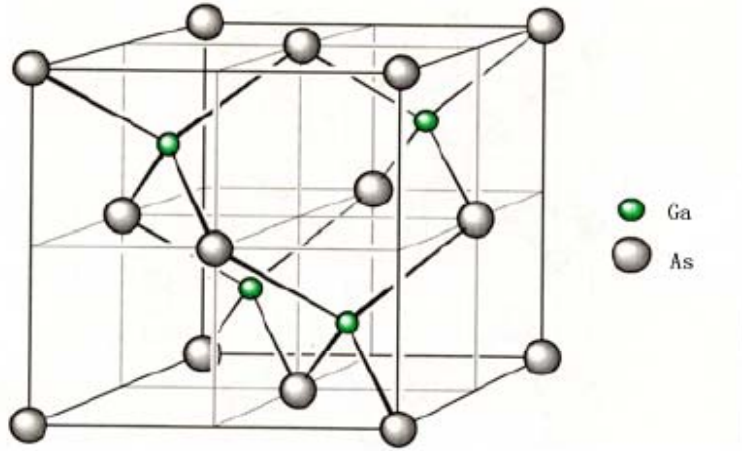
$$OA=a, OP = \sqrt{PC^2 + OC^2} = \sqrt{(c/2)^2 + (\sqrt{3}a/3)^2} = \sqrt{c^2/4 + a^2/3}$$

To reach maximum APF, we must have $OA=OP$, i.e.

$$a^2 = c^2/4 + a^2/3 \Rightarrow c/a = \sqrt{8/3} = 1.633.$$

Problem 4 (15 points)

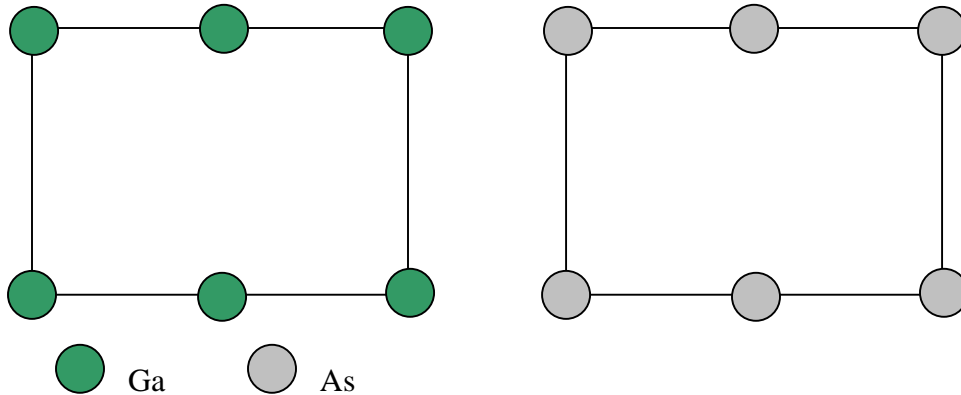
(a) A unit cell of GaAs is shown as the following



Note that the positions of Ga and As atoms are interchangeable.

(b) It is an fcc lattice with 4 lattice point and 8 atoms per unit cell. Suppose the coordinates of As atoms are (000) (1/2 1/2 0) (1/2 0 1/2) and (0 1/2 1/2). Those of Ga atoms are (1/4 1/4 1/4), (3/4 3/4 1/4), (3/4 1/4 3/4) and (1/4 3/4 3/4) correspondingly.

(c) There are two possible configurations in a (110) plane of the GaAs structure.



(d) According to the geometry, if two nearest Ga atoms touch each other, we have

$$\sqrt{2}a/2 = 2 * 0.126nm \Rightarrow a = 0.356nm, \text{ where } a \text{ stands for the lattice parameter.}$$

$$\text{If two nearest As atoms touch, we have } \sqrt{2}a/2 = 2 * 0.120nm \Rightarrow a = 0.339nm.$$

$$\text{If two nearest Ga and As neighbors touch, } \sqrt{3}a/4 = 0.246nm \Rightarrow a = 0.568nm.$$

It is clear that the lattice parameter should be 0.568nm.

Moreover, there are 8 atoms (4 Ga and 4 As atoms) per unit cell, so the density is given

$$\begin{aligned} \text{by } \rho &= \frac{\text{weight_of_8_atoms}}{\text{volume_of_unit_cell}} = \frac{4 \times (69.72 + 74.92) \times 10^{-3} \text{ kg} / (6.02 \times 10^{23})}{(0.568 \times 10^{-9} \text{ m})^3} \\ &= 5.24 * 10^3 \text{ kg/m}^3. \end{aligned}$$

(FYI, a = 0.5653 nm, density = 5.318 * 10³ kg.m⁻³ at 300k for GaAs)